UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

46323

7590

11/13/2008

VINCENT LAUER 1 VILLA DE BEAUTE NOGENT SUR MARNE, 94130 **FRANCE** 

**EXAMINER** NGUYEN, THONG Q ART UNIT PAPER NUMBER

2872

DATE MAILED: 11/13/2008

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/549,426	12/08/2006	Vincent Lauer		9692

TITLE OF INVENTION: MIRROR-CHANGING CONFOCAL OPTICAL DEVICE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	02/13/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current **SMALL ENTITY status:** 

A. If the status is the same, pay the TOTAL FEE(S) DUE shown

B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

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appropriate. All further	correspondence includir ed below or directed oth	ng the Patent, advance of	rders and notification of n	naintenance fees wil	ll be ma	ailed to the current	correspondence address as a rate "FEE ADDRESS" for
CURRENT CORRESPOND	Feet	Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.					
			Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with the Un States Postal Service with sufficient postage for first class mail in an envel addressed to the Mail Stop ISSUE FEE address above, or being facsir transmitted to the USPTO (571) 273-2885, on the date indicated below.				
FRANCE							(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	A	ATTORN	NEY DOCKET NO.	CONFIRMATION NO.
10/549,426 TITLE OF INVENTION	12/08/2006 I: MIRROR-CHANGING	G CONFOCAL OPTICA	Vincent Lauer L DEVICE				9692
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nonprovisional	YES	\$755	\$300	\$0	\$0 \$1055		02/13/2009
EXAM	MINER	ART UNIT	CLASS-SUBCLASS				
	THONG Q	2872	359-381000				
CFR 1.363).  Change of corresponders from PTO/S.  "Fee Address" ind PTO/SB/47; Rev 03-6 Number is required.  3. ASSIGNEE NAME A	ND RESIDENCE DATA	or agents OR, alternative (2) the name of a single registered attorney or a 2 registered patent attorned ilisted, no name will be THE PATENT (print or type)	me of a single firm (having as a member a attorney or agent) and the names of up to d patent attorneys or agents. If no name is name will be printed.				
recordation as set fort (A) NAME OF ASSI	th in 37 CFR 3.11. Comp	thed below, no assignee oletion of this form is NO	T a substitute for filing an (B) RESIDENCE: (CITY	assignment. and STATE OR CC	OUNTR'	Y)	ocument has been filed for buy entity
`	are submitted:  No small entity discount p  # of Copies	permitted)	o. Payment of Fee(s): (Plea A check is enclosed. Payment by credit car The Director is hereby overpayment, to Depo	d. Form PTO-2038 i	is attach	ned. nuired fee(s), any de	
NOTE: The Issue Fee an	ns SMALL ENTITY statu	us. See 37 CFR 1.27.	☐ b. Applicant is no long				FR 1.27(g)(2).
interest as shown by the	records of the United Sta	tes Patent and Trademark	c Office.				
Authorized Signature  Typed or printed name							
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an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223	tiality is governed by 35 d application form to the ions for reducing this but/irginia 22313-1450. DO 313-1450.	U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the NOT SEND FEES OR	1.14. This collection is est depending upon the indivention of the complete that the control of the complete that the control of the complete that the control of the co	imated to take 12 mi idual case. Any com r, U.S. Patent and T D THIS ADDRESS.	inutes to nments of rademar SEND	o complete, including on the amount of tirk Office, U.S. Departo: Commissioner	by the USPTO to process, g gathering, preparing, and me you require to complete artment of Commerce, P.O for Patents, P.O. Box 1450.

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

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VINCENT LAUER 1 VILLA DE BEAUTE			NGUYEN, THONG Q			
			ART UNIT	PAPER NUMBER		
NOGENT SUR M FRANCE	IARNE, 94130		2872 DATE MAILED: 11/13/200	0		

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 201 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 201 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

Notice of Allowability  10/549,226		Application No.	Applicant(s)	
## Notice of Allowability    Examiner				
Thong Nguyen  Th	Notice of Allowability			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address-All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.  1. In this communication is responsive to the amendment of 10/24/08.  2. The allowed claim(s) is/are 1.2 and 4-12 which are renumbered as claims 1-11.  3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) Some* c) None of the:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No.  3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  4. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.  5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted.  (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached  1) Hereto or 2) to Paper No./Mail Date  (b) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached  1 Paper No./Mail Date  (c) DEPOSIT OF and/or INFORMATION about the deposit of BIOL				
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Attachment(s)		5 Notice of Infor	mal Datant Application	
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Informal Patent Application</li> <li>Interview Summary (PTO-413),</li> </ol>	<u> </u>		• •	
2. ☐ Notice of Dransperson's Fatent Drawing (Neview (FTO-946))  Paper No./Mail Date  3. ☐ Information Disclosure Statements (PTO/SB/08),  7. ☒ Examiner's Amendment/Comment		Paper No./Ma	nil Date	
Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit 8. ☒ Examiner's Statement of Reasons for Allowance	Paper No./Mail Date4. ☐ Examiner's Comment Regarding Requirement for Deposit	8. <b>⊠</b> Examiner's St	atement of Reasons for Allowance	
of Biological Material 9. ☐ Other	oi biological iviaterial	9.  Other		

Art Unit: 2872

#### **DETAILED ACTION**

### Response to Amendment

- 1. The present Office action is made in response to the amendment filed on 10/24/2008. It is noted that in the amendment, applicant has made changes to the abstract, the drawings, the specification and the claims.
- 2. Regarding to the claims, applicant has amended claims 1-2 and 4-12. There is not any claim being added or canceled from the application. Note that claim 3 was canceled in the amendment of 7/23/07.

## **Drawings**

- 3. The three replacement sheets contained corrected figures 2 and 10 and newly-added figure 12 were received on 10/24/08. These drawings are approved by the examiner. As a result, the application now contains a total of nine sheets which includes six sheets of figures 1, 3-9 and 11 as filed on 12/8/06 and three replacement sheets contained figures 2, 10 and 12 as filed on 10/24/08.
- 4. The objections to the drawings as set forth in the previous Office action have been overcome by the amendments to the drawings and the specification as provided in the amendment of 10/24/08.

## Specification

5. The substitute specification with is marked-up copy and a statement that the substitute specification does not contain nay new matter as filed on 10/24/08 have been entered.

Art Unit: 2872

6. The lengthy specification which was amended by the amendment of 10/24/08 has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

### Claim Objections

7. The objections to claims 1-2 and 4-12 as set forth in the previous Office action have been overcome by the amendments to the claims as provided in the amendment of 10/24/08.

## Corrections to the Abstract and claim(s).

8. The corrections to the claims and the abstract as provided in the examiner's amendment as made by the examiner. Applicant should note that the changes as made are for the purpose of making the features claimed have proper antecedent basis. The reason for the changes is that applicant has amended the claim 12 on each of lines 5 and 6 to change the terms "light beam" to –plurality of beams--.

#### **EXAMINER'S AMENDMENT**

9. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

A) In the abstract:

On line 4, changed "pathes" to -paths--.

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B) In the claim(s):

In claim 12: the following corrections have been made:

- a) First, on line 8, changed "second light beam" to --second plurality of beams--;
- b) Second, on line 11, changed "second light beam" to --second plurality of beams--; and
- c) Third, on line 13: changed "first light beam" to --first plurality of beams--, and changed "second light beam" to --second plurality of beams--.

### Allowable Subject Matter

- 10. Claims 1-2 and 4-12 are allowed.
- 11. The following is an examiner's statement of reasons for allowance:

The confocal optical device as recited in the independent claim 1 is patentable with respect to the prior art, in particular, the Publication No. 2002/0097485 and the U.S. Patent Nos. 5,625,494 and 6,288,382 by the limitations related to a confocal optical device having a beamsplitter and a redirection mirror attached to the beamsplitter wherein the beamsplitter is for reflecting a second light beam and for passing a first light beam, and the redirection mirror is for reflecting the second light beam, and wherein the device is used for illuminating a plurality of points using a plurality of illuminating beams and for focusing on a plurality of microscope holes the beams to be detected coming from the plurality of illuminated points. It is noted that while the use of a single unit for supporting both a beamsplitter and a redirection mirror into a single unit is disclosed in the mentioned Publication; however, the mentioned publication does not disclose the

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feature that the beamsplitter is for reflecting a second light beam and for passing a first light beam, and the redirection mirror is used for reflecting the second light beam and the single unit having the beamsplitter and the redirection mirror is used for illuminating a plurality of points using a plurality of illuminating beams and for focusing on a plurality of microscope holes the beams to be detected coming from the plurality of illuminated points. The Patent No. 6,288,382 discloses a microscope system having a plurality of illuminating points and a plurality of pinholes; however, the Patent does not disclose a device having a beamsplitter and the redirection mirror attached to the beamsplitter wherein the beamsplitter is for reflecting a second light beam and for passing a first light beam, and the redirection mirror is for reflecting the second light beam. The Patent No. 5,625,494 discloses a parallel window having a beamsplitter and a redirection mirror attached thereon; however, the Patent does not disclose that the parallel window is used in a microscope with the features recited in the independent claim 1.

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Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

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#### Conclusion

12. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thong Nguyen whose telephone number is (571) 272-2316. The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephone B. Allen can be reached on (571) 272-2434. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Thong Nguyen/

Primary Examiner, Art Unit 2872